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PATENT

Attorney Docket No. D5009-00028 at 10-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of: Loeper

Serial No.: 09/916,358

Group Art Unit: 2164

Filed: 07/27/01

Examiner: n/a

For: **METHOD, SYSTEM AND COMPUTER PROGRAM FOR AUDITING FINANCIAL PLANS**

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

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Dear Sir:

It is requested that the reference listed on the attached Information Disclosure Statement Form PTO-1449 be considered by the Patent Examiner in connection with the above-identified application and be made of record therein.

A copy of references AA through AG, are enclosed herewith and form a part hereof. It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449 as confirmation that the reference has been considered.

Respectfully submitted,

Robert E. Rosenthal Esquire
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Attorney for Applicant(s)

Date: February 25, 2002

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Certification Under 37 CFR 1.8

I hereby certify that this paper or fee (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail under 37 CFR 1.8 on the date indicated below and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: February 25, 2002

Kia Williams Lowery

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Sheet 1 of 1	ATTY. DOCKET NO. D5009-00028	APPLICATION NO. 09/916,358
	FIRST NAMED APPLICANT Loeper	EXAMINER n/a
	FILING DATE 07/27/2001	GROUP 2164

RECEIVED**MAR 11 2002****U.S. PATENT DOCUMENTS****Technology Center 2100**

EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	AA	6,085,175	Gugel et al.	07-04-2000	
	AB	6,021,397	Jones et al.	02-01-2000	
	AC	5,812,988	Sandretto	09-22-1998	
	AD	6,253,192	Corlett et al.	06-26-2001	
	AE	5,802,501	Graff	09-01-1998	
	AF	5,774,881	Friend	06-30-1998	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	Office	DOCUMENT NUMBER	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	AG	Ellen Jovin, "New Software, New Sophistication", Financial Planning, pp. 1-6, 1998	

EXAMINER SIGNATURE		DATE CONSIDERED	
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.